

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Gouriou et al.

Confirmation No.:

Application No.: TBA

Examiner: To Be Assigned

Filing Date: June 27, 2003

Group Art Unit:

Title: Method and Apparatus for Controlling Execution of a Child Process Generated by a Parent Process Being Monitored under an Instrumentation Scheme

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: June 27, 2003

OR

☐ I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number _____ on _____ Number of pages: _____

Typed Name: Gloria L. Knox

Signature: 

Respectfully submitted,

Gouriou et al.

By 

Robert A. Blaha

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Reg. No. **43,502**

Date: **June 27, 2003**

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FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

200206152-1

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

Gouriou et al.

FILING DATE

June 27, 2003

GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	4,812,981	03/14/1989	Chan et al.	7 Pages
	1B	5,297,274	03/22/1994	Jackson	7 Pages
	1C	5,319,645	06/07/1994	Bassi et al.	13 Pages
	1D	5,835,765	11/10/1998	Matsumoto	26 Pages
	1E	6,185,702B1	02/06/2001	Shirakihara et al.	22 Pages
	1F	6,249,882B1	06/19/2001	Testardi	18 Pages
	1G	6,269,442B1	07/31/2001	Oberhauser et al.	21 Pages
	1H	6,314,567B1	11/06/2001	Oberhauser et al.	21 Pages
	1I				
	1J				
	1K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	Dynamic Binary Instrumentation on IA-64, by Ramasamy et al., dated December 1-5, 2001, EPIC Workshop, Micro34, pp. 1-13.
	1R	HP Caliper - An Architecture for Performance Analysis Tools, by Robert Hundt, Hewlett-Packard Company, Undated, pp. 1-8.
	1S	

EXAMINER

DATE CONSIDERED